

JC07 Rec'd PCT/PTO 30 MAR 2001

FORM PTO-1390 US DEPARTMENT OF COMMERCE
REV. 5-93PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEYS DOCKET NUMBER
P01,0104

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/806542

INTERNATIONAL APPLICATION NO.
PCT/EP99/06842

INTERNATIONAL FILING DATE
16 SEPTEMBER 1999

PRIORITY DATE CLAIMED
30 SEPTEMBER 1998

TITLE OF INVENTION

METHOD FOR DETERMINING THE POSITION OF TEXT LINES IN TEXT RECOGNITION TASKS

APPLICANT(S) FOR DO/EO/US

WALTER AIGNER ET AL.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
 4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☐ A copy of International Application as filed (35 U.S.C. 371(c)(2)) .
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report, 03 References).
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
(SEE ATTACHED ENVELOPE)
 13. ☒ Amendment "A" Prior to Action and Appendix "A".
☐ A SECOND or SUBSEQUENT preliminary amendment.
 14. ☒ A substitute specification and substitute specification mark-up.
 15. ☐ A change of address letter attached to the Declaration.
 16. ☒ Other items or information:
 - a. ☒ Submission of Drawings
 - b. ☒ EXPRESS MAIL #EL 843728521 US dated March 30, 2001

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.3)

09/806542

INTERNATIONAL APPLICATION NO.

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ATTORNEY'S DOCKET NUMBER

P01,0104

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$710.00

Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$1000.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate		
Total Claims	03 - 20 =	0	X \$ 18.00	\$	
Independent Claims	01 - 3 =	0	X \$ 80.00	\$	
Multiple Dependent Claims			\$270.00 +	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 860.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)				\$	
SUBTOTAL =				\$ 860.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$	
TOTAL NATIONAL FEE =				\$ 860.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +					
TOTAL FEES ENCLOSED =				\$ 860.00	
				Amount to be refunded	\$
				charged	\$

a. ☒ A check in the amount of \$ 860.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SCHIFF HARDIN & WAITE
 PATENT DEPARTMENT
 6600 Sears Tower
 233 South Wacker Drive
 Chicago, Illinois 60606-6473

CUSTOMER NUMBER 26574

SIGNATURE

Melvin A. Robinson

NAME

31,870

Registration Number

09/806542

CERTIFICATE OF MAILING BY EXPRESS MAIL

JCOB Rec'd PCT/PTO

30 MAR 2001

Express Mail Mailing Label Number EL 843728521 US

Date of Deposit: March 30, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**BOX PCT
Assistant Commissioner for Patents
Washington DC 20231**

**Case Number: P01,0104
Applicant(s): Walter Aigner et al**

**International Application No. PCT/EP99/06842
International Filing Date 16 September 1999
Priority Date Claimed 30 September 1998**

**Title: METHOD FOR DETERMINING THE POSITION OF TEXT LINES
IN TEXT RECOGNITION TASKS**

Enclosed are the following documents:

International application as filed;

English Translation;

Annexes;

UNSIGNED DECLARATION;

PTO 1390 in duplicate;

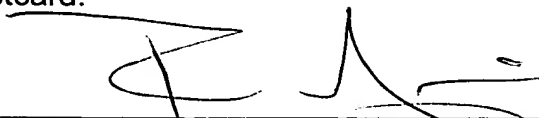
Preliminary Amendment;

Submission of Drawings;

Substitute Specification and Substitute Specification mark-up;

Fee: \$860.00;

Postcard.



Signature of person mailing documents and fees

09/806542

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BOX PCT
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY--CHAPTER II

SUBMISSION OF DRAWINGS


APPLICANT(S): WALTER AIGNER ET AL
ATTORNEY DOCKET NO.: P01,0104
INTERNATIONAL APPLICATION NO: PCT/EP99/06842
INTERNATIONAL FILING DATE: 16 SEPTEMBER 1999
INVENTION: METHOD FOR DETERMINING THE POSITION OF TEXT LINES
IN TEXT RECOGNITION TASKS

Assistant Commissioner for Patents,
Washington, D.C. 20231

Sir:

Enclosed is one sheet of drawings (Figures 1-2) for the above-identified
PCT application.

Submitted by,

 (Reg. No. 31,870)
Melvin A. Robinson
SCHIFF HARDIN & WAITE
PATENT DEPARTMENT
6600 Sears Tower
Chicago, Illinois 60606-6473
(312) 258-5785
Attorney for Applicant(s)

CUSTOMER NUMBER 26574

Siemens AG
New PCT application
26965-2393 (P-01,0104)
1998P07016WOUS
Inventor: Aigner
Re: Substitute Pages

09/806542

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Translation / March 23, 2001 / 1696(911) / 800 words